

## Chapter IV: Privacy Threshold Analysis (Optional)

*Complete this document and send it to your Bureau's Privacy Act Officer. Upon receipt, your Privacy Act Officer will review the document, may request additional information, and determine if a full Privacy Impact Assessment (PIA) is required.*

**Bureau: Public Debt**

**Date Submitted for Review:** September 30, 2006

**Name of Project:** REGII

**OMB Unique Identifier:** 015-35-01-14-02-1011-00-402-124

**Type of Project/Program:**

☐ Information Technology ☒ System ☐ Other Project/Program (type) \_\_\_\_\_

**1. Provide a general description of the project and its purpose in non-technical terms.**

The Registered Accounts System II (REGII) maintains all account and ownership data on past and present registered Treasury securities. The system processes registered securities, issue information and updates its databases with names, addresses and identifying data about the securities. Queries against the system are made on-line. This system is used to generate interest check files, which are transmitted via bulk data to the Federal Reserve Bank of Philadelphia, where the Treasury Direct Check Office Facility prints and mails the checks. REGII also reports interest earnings information to investors and the Internal Revenue Service.

**2. Status of Project/Program**

☐ This is a new development effort ☒ This an existing project

**Date first developed:** November 1993

**Date last updated:** January 2005

*Please provide a general description of the update.*

Calculation of payment due dates.

**3. Does the technology, system, or program collect, maintain, and/or share information in identifiable form?**

☐ No. The Assessment is complete. *Please send to your Privacy Act Officer.*

☒ Yes. Please answer the following questions.

**Does the technology, system, or program contain information from or about the public?**

☐ No

☒ Yes

**Provide a general description of the way the project could relate to an individual.**

The information that can be accessed includes current security holdings and interest payment information for each master account, and the history of transactional changes pertaining to the registered owner, the securities held and interest payments made. Information obtained from the system is used to respond to investor's inquiries or problems with their securities account.

**4. What information does this technology, system, or program collect, maintain, or share?**

An example of this information is personal information such as social security number, name, address and account number that is covered by the Privacy Act.

**5. Is there a Certification & Accreditation record within the FISMA tracking system?**

☐ Do not know. ☐ No. ☒ Yes. Please indicate the determinations for each of the following:

Confidentiality: ☐ Low ☒ Moderate ☐ High ☐ Undefined

Integrity: ☐ Low ☒ Moderate ☐ High ☐ Undefined

Availability: ☐ Low ☒ Moderate ☐ High ☐ Undefined

**6. Provide the following information if a PIA has been conducted:**

PIA Date: \_\_\_\_\_ PIA Title: \_\_\_\_\_

PIA posted on the web: ☒ No ☐ Yes If no, date expected to post: August 1, 2007

**7. System of Records Notice Publication:** ☐ No ☒ Yes ☐ Not required

### **ADDITIONAL COMMENTS:**

REGII is not accessed by members of the public.

Public Debt is posting this Privacy Threshold Analysis (PTA) in accordance with a recommendation made by the Treasury Office of the Chief Information Officer as a provisional Privacy Impact Assessment (PIA) until final decisions are made regarding PIA applicability to the system.